



STOCK SETTLEMENT INSTRUCTION FORM

股票交收指示

To 致: **M&F Asset Management Limited 漢豐資產管理有限公司**

Date 日期: _____

Client Name 客戶姓名:	Account No. 帳戶號碼:
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Instruction Type 交收指示類別: ☐ **SI** or 或 ☐ **ISI**

Please 請: ☐ **RECEIVE** the following stocks to my account 將下列股票存入到本人上述戶口
☐ **DELIVER** the following stocks from my account 由本人上述戶口提取下列股票

And input the following Settlement Instruction into the Central Clearing and Settlement System (CCASS) to effect settlement with details as follows 請將下列交收指示輸入中央結算及交收系統以便進行交收:

Stock Code 股票代號	Name of Stock 股票名稱	No. of Share 股數	DVP/ FOP	Amount 金額	Handling 手續費	Total Amount 總金額
Total 合共:						

Name and Participant ID of Counterpart:

轉倉對手名稱及中央結算編號: _____

Contact Person and Phone No.

對方聯絡人及聯絡電話: _____

Settlement Date:

交收日期: _____

Client's Name & A/C no. with Counterparty.:

客戶於對方之帳戶姓名和帳戶號碼: _____

Client's HKID or passport no.:

客戶之香港身分證或護照號碼: _____

Purpose 目的:

- ☐ C = Broker-Custodian Transaction 經紀與托管者之交易
☐ M = Portfolio Movement 組合轉移
☐ I = Investor Intermediary 投資者帳戶

- ☐ P = Stock Pledging 按揭
☐ L = Stock Borrowing/Lending 借股/貸股
☐ R = Return Recall 撤回交收

I/We confirm that there is no change in beneficial ownership through effecting this SI/ISI (if same name settlement instruction). I/We hereby agree to indemnify you against any loss or claim result from your action according to my/our instruction.

本人/吾等確認上述股票的實益擁有權並沒有因進行本交收指示/投資者交收指示而出現變動(如果同名結算指令)。本人/吾等 願意賠償貴公司因執行本人/吾等指示所引致之任何損失。

Client's Signature 客戶簽署

For Official Use Only

Received by	Signature Verified by:	Input by:	Approved by:
Verified with client's Date/ Time/ Ext no. tape recording:		Staff name of counterparty broker/ Date/ Time:	Confirmed by: